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Co-control of CO₂ and SO₂ Emissions in the Key Environmental Protection Prefectures in China

CAO Libin CAI Bofeng PANG Lingyun



Foreword »

🌿 Editor in Chief: Prof. WANG Jinnan



Since its opening-up and reform, China has been in the process of rapid economic development with its people enjoying an increasingly improved standard of life. Meanwhile accompanying this dramatic economic growth is the degradation of environment which has, to some extent, damaged the gains of the opening-up and reform and prevented the economy from a healthy and sustainable development. The Chinese government is increasingly aware of that without addressing the environmental issues it is facing now, will jeopardize its long term goal of the great rejuvenation of the Chinese nation. Given the magnitude and complexity of the environmental issues in China, there is no easy way in addressing them and the solution to them entails an equal priority being given to environmental protection, ecological conservation and economic development or even higher than the latter by mainstreaming the former into the overall socio-economic decision-making process. As a matter of fact, China has been in the struggle against environmental pollution since the very beginning of its economic take-off and trying to explore a pathway that could help address China's

environmental issues in the way most suitable to China's specific circumstances.

In recent years, especially since the 12th Five-Year Plan period, the enhanced measures including legislation, policy, regulatory and economic means have been taken by the Chinese government in dealing with environmental problems, of which environmental policies have played an important role in this regard. Corresponding to this situation and in meeting the demand of governments at different levels for environmental policy tools, the environmental policy research projects on topics of a wide range have been conducted by some Chinese environmental research institutions including the Chinese Academy for Environmental Planning (CAEP).

CAEP founded in 2001, is a research advisory body supporting governments in the development of key environmental planning, national environmental policies, and major environmental engineering projects. In the past more than 10 years, CAEP has accomplished the development of the overall planning of national environmental

protection for the 10th, 11th and 12th Five-Year Plan periods; water pollution prevention and control planning for key river basins; air pollution prevention and control planning for key regions; soil pollution prevention and control planning; and some regional environmental protection plans. In the same period of time, CAEP also actively engaged in research on such topics as green GDP, environmental taxation, emission trading, ecological compensation, green financing, etc. By so doing, CAEP has become an indispensable advisory body in the environmental decision-making in mainland China. According to *2013 Global Go To Think Tanks Report and Policy Advice* published by University of Pennsylvania, CAEP was ranked 31 in the field of environment in the world. Many of CAEP's research results and project outcomes regarding environmental policies have drawn great attention of decision makers and international institutions, and have been utilized to contribute to the formulation of national environmental policies concerned.

The Chinese Environmental Policy Research Working Paper (CEPRWP) is a new internal publication produced by CAEP for the purpose of facilitating the academic exchange with foreign colleagues in this field, in which the selected research papers on environmental policies from CAEP are set out on the irregular basis. It is expected that this publication will not only make CAEP's research results on environmental policies be known by foreign colleagues but also serve as a catalyst for creating opportunity of international cooperation in the field of environmental policies, and environmental economics in particular, with a view of both the academic research and practical policy needs.

Chinese cities are facing serious environmental issues and climate change challenges at the same time. Because most energy in China is based on coal combustion, almost all air pollutants are related to carbon dioxide (CO₂) emission. Using the Emission Database for Global Atmospheric Research (EDGAR) database and prefecture boundary data, studies comparing CO₂ and sulfur dioxide (SO₂) emissions of 113 key environmental protection cities in China were conducted using statistical analysis. The results showed that significant correlation existed between CO₂ and SO₂ emissions in these cities. Clustering analysis showed that these cities could be categorized into four groups, with 57% of the prefectures emitting low CO₂ and SO₂ emissions. Obviously, clustering was observed in typical prefectures and agglomeration areas, such as the top ten cities for SO₂ emission in China; the CO₂ and SO₂ emissions from these ten cities accounted for 10.71% and 13.15% of the total national emissions, respectively, although these cities took up less than 3% of the country's land area. In the top ten cities of CO₂ emission in China, the CO₂ and SO₂ emissions accounted for 15.30% and 10.45% of the total national emissions, respectively, although these cities took up less than 2% of the country's land area. The implication of these findings for policymakers is that prefectures should be the focus of future strategies designed for the co-control of development, rather than concentrating only on the provinces. Prefectures with low CO₂ and SO₂ emissions or low CO₂/SO₂ ratios should be provided with incentives related to synergistic measures that can be used to achieve the dual control of CO₂ and SO₂ emissions at a lower cost.

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1. INTRODUCTION

China is currently working to improve environmental conditions and develop a low-carbon economy, with the goal of achieving effective control of air pollution and greenhouse gas (GHG) emission. On one hand, after the signing of the Paris Agreement, China identified future action targets with the goal of reaching peak CO₂ emission around 2030 and striving to strengthen the response to climate change, including meeting China's National Independent Contribution (INDC) commitments. On the other hand, air pollution control has become a topic of general concern to communities and the public in China. The central government launched a system of nationwide inspections related to environmental protection, including the 2+26 cities environmental inspection plan and other action programs designed to deal with air pollution and promote environmental improvement.

The fifth assessment report of the United National Intergovernmental Panel on Climate Change (IPCC) noted that climate change mitigation measures had a strong synergistic effect on reduction of pollutant emission. Mitigation measures designed to address the reduction of GHG emission will have a significant effect on reducing pollutant levels, which will create a more obvious synergistic effect, especially in the areas where pollution is relatively severe in developing countries. However, as environmental management improves and environmental standards of developing countries become more stringent, the environmental benefits of mitigating climate change will gradually decrease. The ratio of SO₂ (in kg) to CO₂ (in tons) in Asian countries has fallen from 6.4 in 2000 to 3.9

in 2010. The effect of climate change on pollutant emissions and air quality is closely related to the stringent environmental policies and management plans that have already been implemented. For example, in areas where SO₂ emissions are relatively loosely controlled, the synergistic effects of emission reductions and climate policy would be significant. In areas where SO₂ emissions are very stringently controlled, there is hardly any synergistic effect of climate policies and reduction of SO₂ emission. On a global scale, monetary mitigation of climate change ranges from \$2 to \$420 (2010) per ton of CO₂, and the benefits to developing countries are twice as high as to developed countries. The gap between developing and developed countries mainly occurs because developing countries have lower levels of air pollution control and higher pollutant emissions, thus there is a greater potential to improve environmental conditions in them.

Domestic and foreign scholars studied on the co-control of local air pollutants and CO₂ emission in China and concluded that many climate policies in China had great potential for synergetic reduction. Some scholars assessed the reduction potentials of greenhouse gas and pollutant reduction technologies in the key industries such as steel, power, cement and other industries. Peng et al. studied co-control of air quality and CO₂ emission in different industries in China and evaluated the potential benefits of four sectoral mitigation strategies. All strategies included 80% replacement of small coal power plants with larger more efficient ones, 10% improvement in energy efficiency, replacement of high emitters with average vehicle fleet emissions, and



replacement of 20% of coal-based stoves with stoves using liquefied petroleum gas. They found that the improvement of air quality and human health of 10% improvement of energy efficiency was largest and carbon emission reduction was 3 times higher than other strategies. Many researchers studied co-control analysis at provincial level and city level. Mr. Dong and his team predicted the future CO₂ emission and air pollutants (SO₂, NO_x and PM) in all provinces of China in future by using the AIM / CGE model and the GAINS model and found higher co-control air pollutants and CO₂ emission in the provinces with high GDPs or in the energy production base. Cheng et al. studied the effects of different carbon emission reduction policies on four industries, electric power industry, smelting industry, cement industry and iron and steel industry in Guangdong Province through the dynamic Computable General Equilibrium (CGE) model. The research showed that carbon caps policy could reach the goal of emissions reduction and energy savings.

Many researchers in China and abroad have analyzed synergism between CO₂ emission and air pollutants based on the levels of urban pollution and relatively high spatial resolution remote sensing data using spatial grids. Akimoto et al. studied emission-related and hot-spot areas of CO₂ and SO₂ emissions based on 1° × 1° spatial resolution data in Asia. Parrish et al. studied the correlation between GHG emission policies and air quality, suggesting that if GHG control policies, which started in 2005, remained unchanged, the air quality

in many parts of the world would decline significantly by 2050. Between 2025 and 2050, concentrations of NO₂, SO₂, and PM_{2.5} in East Asia have been forecasted to reach high levels, and concentrations of O₃ in northern India and the Arabian Gulf have been forecasted to increase significantly. That study used the Multiple Pollutants Index (MPI) to determine that regions with relatively high temperatures may have poor air quality in the future. Although the MPI in the densely populated areas of the northern hemisphere is predicted to be higher, the future air quality in eastern China, northern India, and in the Middle East and North Africa is predicted to be the worst.

Using estimates of domestic pollution, synergistic reductions of GHG emission, and benefit analysis based on an industry point of view, Mao et al. studied the synergistic effects of the reduction of sulfur, nitrogen, and carbon emissions during in an environmental and economic path analysis for China's power industry. Gao et al. studied synergistic emission reduction paths for urban traffic air pollutants and GHGs. The present study tries to use CO₂ emission data on a global 0.1° grid (about 10 km in the middle latitude area) in the EDGAR database to analyze the CO₂ and SO₂ emissions from a statistical point of view. Spatial, economic, and social data were combined from 113 key cities in China, and the spatial characteristics of CO₂ emission in China's urban areas and their correlation with SO₂ emission indicated a synergistic effect of CO₂ and SO₂ emissions in China.



2. DATA AND METHODOLOGY

2.1 Key environmental protection cities

Based on the analysis of the situation related to urban air pollution in 2000, the Chinese government designated a total of 113 key environmental protection cities and targeted them for air pollution control during “the Eleventh Five-Year Plan” period. One principle according to the “People’s Republic of China Air Pollution Control Law”, article 17 the second paragraph stated that municipalities, provincial cities, coastal open cities, and key tourist cities should be included in the key environmental protection cities targeted for air pollution control and the 43 cities were designated as key environmental protection cities that

were targeted for air pollution control. The other principle involves the analysis of the comprehensive economic capacity and environmental pollution status of 338 cities owing atmospheric environmental quality monitoring data and the commitment of the provincial people’s government to the 2005 environmental quality standards. They selected special economic zones, prefecture-level cities achieving the goal of “the Tenth Five-Year Plan” of Acid rain and SO₂ pollution control, the cities over-discharged of the current atmospheric environmental quality pollution but reaching the goal in the future and the cultural and tourism cities to strengthen the protection. A total of 70 cities were designated as Key Air Pollution Control Cities (Table 1).

 **Table 1 113 key environmental protection cities**

Provinces	Municipalities directly under the Central Government, Provincial Capitals, Coastal Open Cities, and Key Tourist Cities	Other key environmental protection cities
Municipalities directly under the Central Government	Beijing, Tianjin, Shanghai, Chongqing	/
Hebei	Shijiazhuang, Qinhuangdao	Tangshan, Baoding, Handan
Shanxi	Taiyuan	Changzhi, Linfen, Yangquan, Datong
Inner Mongolia	Hohhot	Baotou, Chifeng
Liaoning	Shenyang, Dalian	Anshan, Fushun, Benxi, Jinzhou
Jilin	Changchun	Jilin
Heilongjiang	Harbin	Mudanjiang, Qiqihar
Jiangsu	Nanjing, Suzhou, Nantong, Lianyungang	Wuxi, Changzhou, Yangzhou, Xuzhou, Zhenjiang
Zhejiang	Hangzhou, Ningbo, Wenzhou	Shaoxing, Huzhou
Anhui	Hefei	Ma’anshan, Wuhu
Fujian	Fuzhou	Quanzhou, Xiamen



Provinces	Municipalities directly under the Central Government, Provincial Capitals, Coastal Open Cities, and Key Tourist Cities	Other key environmental protection cities
Jiangxi	Nanchang	Jiujiang
Shandong	Jinan, Qingdao, Yantai	Zibo, Tai'an, Zaozhuang, Jining, Weifang, Rizhao
Henan	Zhengzhou	Luoyang, Anyang, Jiaozuo, Kaifeng, Pingdingshan, Sanmenxia
Hubei	Wuhan	Jingzhou, Yichang
Hunan	Changsha	Yueyang, Xiangtan, Zhangjiajie, Zhuzhou, Changde
Guangdong	Guangzhou, Zhanjiang	Shaoguan, Shenzhen, Zhuhai, Shantou
Guangxi	Nanning, Guilin, Beihai	Liuzhou
Hainan	Haikou	
Sichuan	Chengdu	Mianyang, Panzhihua, Luzhou, Yibin, Zigong, Deyang, Nanchong
Guizhou	Guiyang	Zunyi
Yunnan	Kunming	Qujing, Yuxi
Tibet	Lhasa	
Shaanxi	Xi'an	Xianyang, Yan'an, Baoji, Tongchuan, Weinan
Gansu	Lanzhou	Jinchang
Qinghai	Xining	
Ningxia	Yinchuan	Shizuishan
Xinjiang	Urumqi	Karamay

2.2 Data sources

The data used in this study were all from public data sources, and all data represented conditions in 2008. These data included CO₂ emission data from the EDGAR database, the city SO₂ emission data from China's 2008 Environmental Statistical Yearbook, and other socio-economic data from China's 2009 Urban Statistical Yearbook.

China's 2008 Environmental Statistical Yearbook provided detailed statistics related to SO₂ emission from the country's 113 key cities, including industrial and residential SO₂ emission. SO₂ emission in key environmental protection cities totaled 11.47 million tons in 2008 and accounted for 49.4% of the total SO₂ emission nationally, including 9.88 and 1.49 million tons of industrial and residential SO₂ emission, respectively.



The EDGAR system is a joint project of the European Commission Joint Research Centre and the Netherlands Environmental Assessment Agency; it provides data of global anthropogenic emission of GHGs. The EDGAR database is currently the dataset available with the highest spatial resolution, including a near 10km spatial resolution CO₂ emission dataset for China. EDGAR calculates CO₂ emission and conforms to the methodology and emission source classification methods of the IPCC guidelines. Emissions for a country are calculated on an annual basis and based on sectors; the calculations are done by multiplying activity data by emission factors. The activity data are from International Energy Agency statistics from Organization for Economic Cooperation and Development (OECD) and non-OECD countries, while the emission factors are from the IPCC guidelines. We only considered the emissions from energy activities and industrial processes (non-combustion).

Emissions were allocated on a spatial grid of 0.1 degree to provide a gridded emission dataset. The EDGAR dataset used point/line emission sources, population density grids, and land use data at various resolutions as spatial proxies to prepare the gridded CO₂ emission data. EDGAR only counted direct CO₂ emission. Therefore, CO₂ emission analysis of prefectures in this article refer to direct CO₂ emission and do not include the indirect emissions that occur outside each prefectures' boundaries because of activities that occur within the prefectures. Extensive studies have been conducted based on the EDGAR database and have proven its value and reliability. Cai (2014) analyzed discrepancies between CO₂ emission data spatially aggregated data from EDGAR database and those calculated from official

energy statistical data at the provincial level in China. The EDGAR dataset still has some problems related to uncertainties in spatial accuracy, although many studies have tested its quality and proved its values. Overall, the EDGAR data are quite consistent with China's provincial energy data. The integrity of this dataset provides more consistent and comparable results when applied at the prefecture level. The EDGAR gridded data combined with Chinese prefecture GIS vector data can be used to estimate CO₂ emission of prefectures in China. Based on the GIS spatial analysis platform, the sum of CO₂ emission of all grid cells that fall into each of geographical boundary of prefectures was calculated by the *Zonal Statistics tool* in ArcGIS (ESRI, Redlands, CA, USA). If a particular grid cell spatially overlaid more than one prefecture boundary, its emissions will be assigned to the prefecture that covered the largest part of that grid cell.

2.3 Analytic methods

In this paper, we adopted the spatial autocorrelation analysis method to study the spatial characteristics of CO₂ emission in China and its correlation with the urban spatial distribution. The global spatial autocorrelation index (Global Moran's I) and the local spatial autocorrelation index (Local Moran's I) were used to analyze the range of agglomeration-dispersion space of CO₂ emission in China and detect the hot spots of CO₂ emission. The value of Moran's index was between [-1, 1]. The value of Moran's index was close to 1 and Z-value>2.58 or Moran's index was close to -1 and Z-value<-2.58, which represented clustered similar property of spatial units or cluster different property of spatial units. The value of Moran's index is close to or equal to 0 and



Z-value was between -2.58 and 2.58, which indicated random distribution of the spatial units. Pearson correlation coefficients were adopted to determine the extent to which each prefecture's CO₂ emission were associated with SO₂ emission using SPSS software and t-test was used to test the significance of a correlation coefficient. Clustering analysis was conducted on 113 key environmental protection cities with two variables: CO₂ and SO₂ emissions per capita. The Self-Organizing Feature Maps (SOM) was

adopted as the clustering method. The SOMs are trained using unsupervised learning to produce low dimensional data. The SOM method has strong unsupervised learning capability and nonlinear solving capability, which can best meet the clustering principle: i.e., maximize inter-group differences, minimize inter-group differences, and avoid subjectively setting group numbers. The SOM analysis was implemented based on MATLAB software.





3. RESULTS

3.1 Spatial pattern of CO₂ emissions

The spatial pattern of CO₂ emission in China was divided into eastern and western sides along Hu Huanyong line. The eastern side of Hu Huanyong line was obviously higher than the western side. Within the eastern side of Hu Huanyong line, the north China plain and the surrounding area of Shanghai was higher than other regions. The high emission grids tended to be concentrated on large cities such as Beijing, Shanghai, Chongqing, Chengdu, Wuhan, Xi'an, Zhengzhou and Guangzhou. The global Moran's index was 0.27 ($Z = 38.57$, $P < 0.01$), indicating that the spatial pattern of CO₂ emission in China had a significant positive correlation with the spatial resolution of 0.1° spatial autocorrelation. In other word, there was a significant spatial agglomeration effect rather than random spurious distribution. The conclusion verified that CO₂ emission in China were spatially clustered in typical cities.

Based on the local Moran index calculation, significant positive spatial correlation of CO₂ emission existed in some regions in China. In other word, CO₂ emissions in some regions were significantly affected by adjacent regions. The positive spatial correlation effect of the regions existed mainly in and around the mega-cities of Beijing, Shanghai, Guangzhou and Zhengzhou. The intense economic activities of these cities had led to the intensity of energy activities in surrounding areas, so CO₂ emission in these regions were higher than other regions. In addition, based on local Moran index, significant negative spatial correlations of CO₂ emission existed in some regions in China, such as southeastern regions of Shanxi

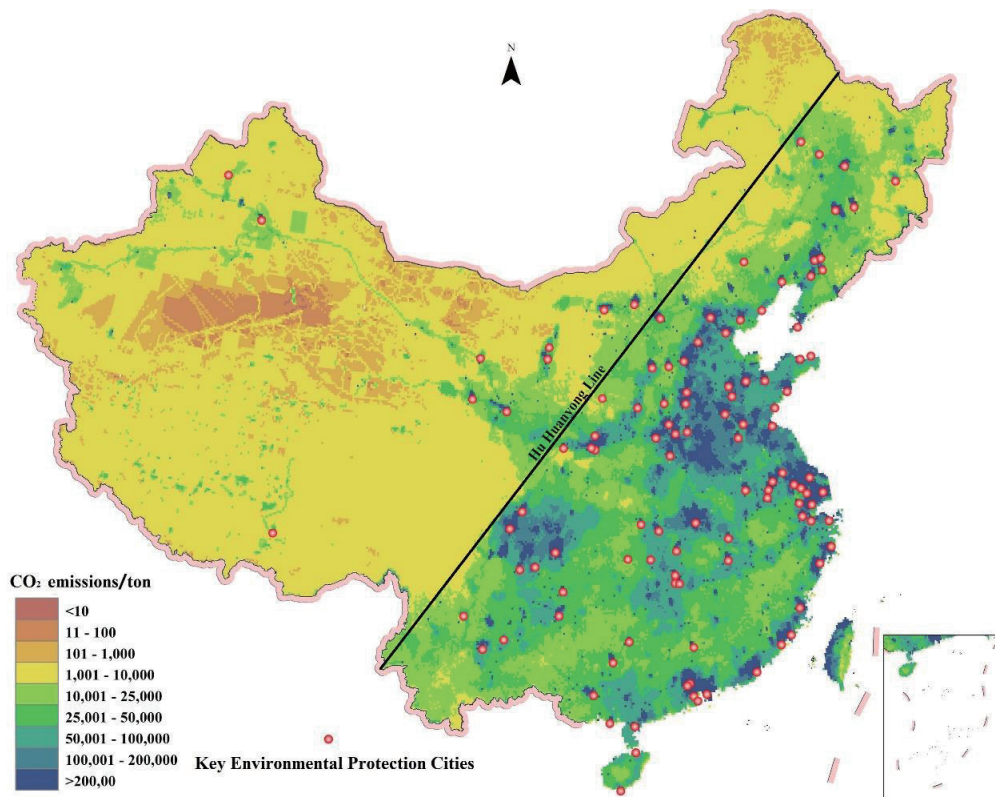
Province and southeastern regions of Anhui Province. The former was an important source of energy output in China and the latter had not high energy consumption and high emission industries and did better for ecological conservation. CO₂ emissions in these two regions are in sharp contrast with the surroundings. The conclusion of spatial pattern of the CO₂ emission in the 0.1° resolution is basically the same with spatial pattern analysis of provincial-level carbon dioxide emission, as Xiao et al., Yao et al. and Zhao et al. studied. From the spatial analysis of CO₂ emission, we could find that key cities had substantial influences on CO₂ emission in China, which formed a spatial clustered pattern, while provincial-level cities didn't significantly affect the spatial pattern of CO₂ emission in China.

3.2 Correlation analysis between cities' CO₂ and SO₂ emissions

Based on CO₂ and SO₂ emissions data from the 113 key environmental protection cities, Figure 2 shows the results of correlation analysis between CO₂ and SO₂ emissions among the prefectures of China analyzed here. Both Pearson correlation coefficients passed the test of significance ($p < 0.01$). Based on emission mechanism in these prefectures, China's SO₂ emission were almost entirely from fossil fuel combustion. Similarly, more than 85% of CO₂ emission came from fossil fuel combustion, mainly from limestone calcination of cement kilns and lime kiln combustion processes. Land use changes and forest carbon sink were not considered. Therefore, most of China's SO₂ and CO₂ emissions occurred simultaneously and had the same sources. That is to say,



■ Figure 1 Spatial distribution of CO₂ emission in China in 2008 with a 0.1° grid resolution



Note: The data are from the EDGAR database.

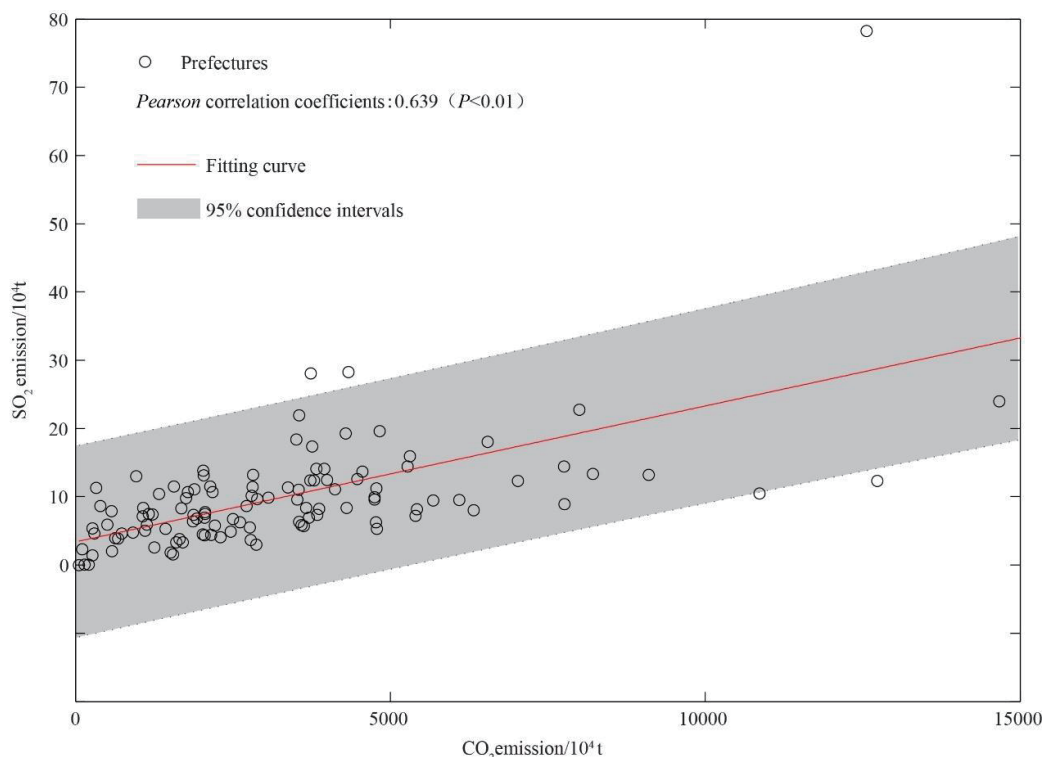
the mechanisms that led to SO₂ and CO₂ emissions were significantly correlated in China's prefectures.

The average value of the CO₂/SO₂ ratio in the 113 cities analyzed here was 452, which means, 1 ton of SO₂ was discharged for every 452 tons of CO₂ emission. This represents desulfurization related to the use of coal, fuel, and gas energy in the studied prefectures. Obviously, the CO₂/SO₂ ratio was different for each prefecture. Coals produced in China contain 1.1% sulfur and 65% carbon in average. Burning 1 ton of coal will discharge 0.022 tons of SO₂ and 2.347 tons of CO₂. Taking no account of desulfurization, the ratio of CO₂/SO₂ was 107 for one ton of coal, but this ratio reached 452 in the 113

key environmental protection cities. This phenomenon was partly because refined oil, natural gas, and other fossil fuels have a higher CO₂/SO₂ ratio than coal and gasoline. For example, burning 1 ton of gasoline discharges 0.001 tons of SO₂ emission (gasoline contains 500 mg/kg of sulfur) and 3.1 tons of CO₂, therefore, the ratio of CO₂/SO₂ is 3100. Meanwhile, burning 1 ton of diesel has a ratio of 750 since 1 ton of diesel contains 2000 mg/kg of sulfur. In addition, industrial desulfurization has significantly reduced SO₂ emission (in 2008, industrial SO₂ emission were 1,991 million tons, with the removal of 22.86 million tons), thus resulting a significant increase in the CO₂/SO₂ ratio.



■ Figure 2 CO₂ and SO₂ emissions from 113 key environmental protection prefectures in China



We analyzed CO₂ and SO₂ emissions in ten selected major prefectures. In Shanghai, Chongqing, Tianjin, and Suzhou, CO₂ and SO₂ emissions were in the top ten among the prefectures with these emissions in China. It shows these areas have a very high level of GHG and pollutant emissions. Comparing the ratio of CO₂/SO₂ in these prefectures, the overall CO₂/SO₂ ratio was low in the prefectures with high SO₂ emission and was high in the prefectures with high CO₂ emission. In addition to the differences in urban energy structure and the sulfur and carbon content of fossil fuels, prefectures with high CO₂ emission are basically developed cities with stronger desulfurization projects and measures, which also had a relatively high per capita GDP. Thus, SO₂ emission has been successfully reduced

to a large extent in these cities. However, since the economic development still largely depended on fossil fuels, especially coal, CO₂ emission was still high, which resulted in a high CO₂/SO₂ ratio.

3.3 Clustering analysis on CO₂ and SO₂ emissions of 113 key environmental protection prefectures in China

In the two-dimensional space of CO₂ and SO₂ emissions per capita, the SOM method was used to cluster the prefecture area (Table 2 and Fig. 3), and the characteristics of CO₂ and SO₂ emissions of China's urban areas were identified. The optimal grouping was four. The significance of clustering results is shown in Table 3. The results show that the SOM method achieves the concept that



the emission differences are the smaller within one group than the difference between groups. The clustering results were analyzed by an F test ($P < 0.01$).

Table 2 The features of prefecture-groups of SO₂ and CO₂ emissions

Groups	Average CO ₂ emission per capita (ton/person)	Average SO ₂ emission per capita (ton/person)	Numbers of prefectures	Typical prefectures
High CO ₂ emission, high SO ₂ emission	17.9	185.5	2	Shizuishan
High CO ₂ emission, relatively high SO ₂ emission	18.3	43.0	11	Hohhot
Low CO ₂ emission, low SO ₂ emission	4.1	18.5	64	Shenzhen
Relatively high CO ₂ emission, relatively high SO ₂ emission	8.6	24.6	36	Hangzhou

Table 3 The significance test of CO₂ and SO₂ emissions clustering in 113 key environmental protection prefectures

Variables	Classification	Sum of Squares	Degrees of Freedoms	Mean Square	F-test	P-value
CO ₂ emission per capita	Between groups	2267.86	3	755.95	127.59	<0.01
	In groups	645.80	109	5.93		
	Total	2913.66	112	/	/	/
SO ₂ emission per capita	Between groups	57748.22	3	19249.41	81.42	<0.01
	In groups	25769.38	109	236.42		
	Total	83517.60	112	/	/	/

Note: $P < 0.01$ was considered significant.

The clustering analysis results show that the 113 key environmental protection cities can be divided into four categories: those with high carbon and sulfur emissions, those with high carbon and relatively high sulfur emission, those with relatively high carbon and sulfur emissions, and those with low carbon and sulfur emissions. Shizuishan and Jinchang were the only two cities in the high-

carbon emission and high-sulfur emission group; these two were resource-based heavy industrial prefectures in China. Few cities were identified in the high carbon and relatively high sulfur emission group of prefectures. They were basically heavy industrial or resource-based prefectures with Hohhot and Taiyuan as typical representatives. These prefectures have a proportion of heavy industry, and depend

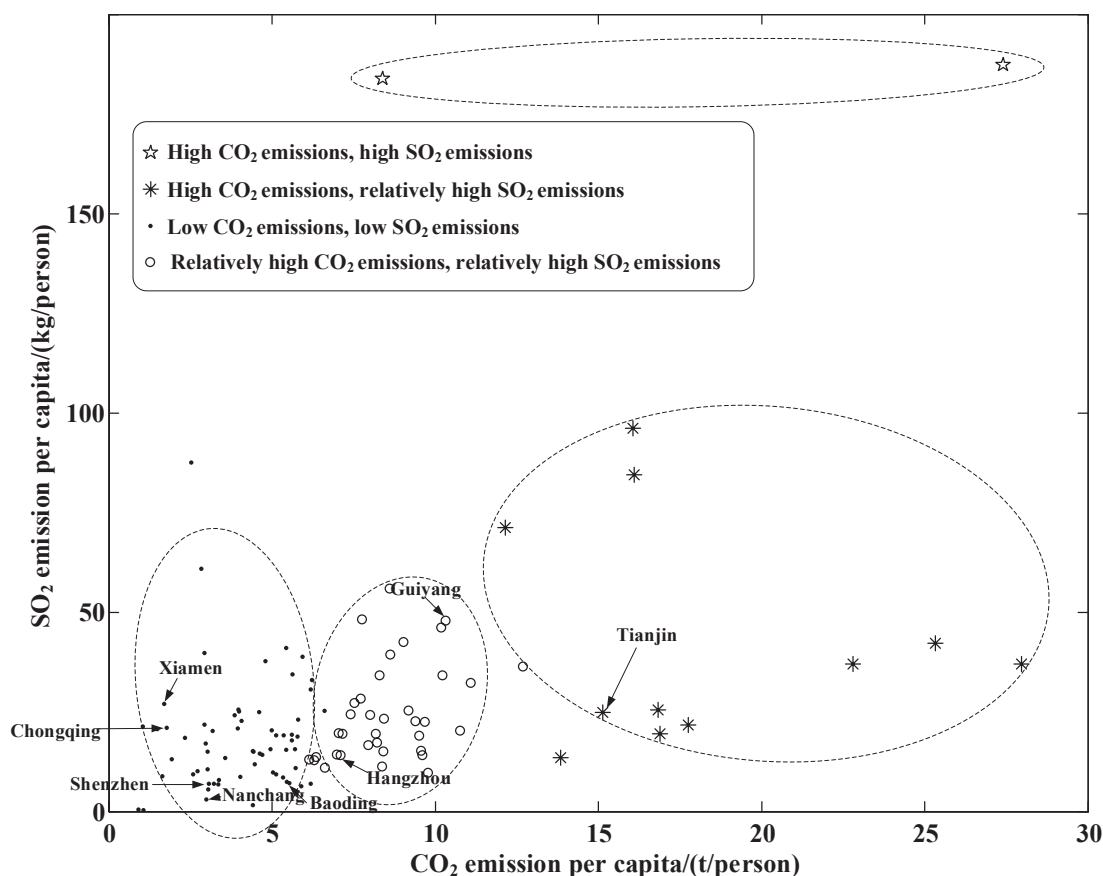


heavily on coal, which leads to relatively high per capita CO₂ and SO₂ emissions. In China, 36 prefectures had relatively-high sulfur and carbon emissions with Nanjing, Ningbo, and Hangzhou as relatively typical representatives. These prefectures are striving for active transition by gradually lowering their dependence on coal.

A majority of 64 prefectures are in the low-sulfur-and-carbon emission group, accounting for 57% of 113 key environmental protection prefectures. This

group of prefectures can be divided into two categories. The first has a developed economy, a relatively high proportion of tertiary industry, and a dense population, such as Shenzhen, Xiamen and other cities. The second group has a relatively undeveloped economy, such as Yan'an, Jingzhou, and so on. The comparative analysis indicates the uneven development of key prefectures in China and large differences in CO₂ and SO₂ emissions between prefectures.

■ Figure 3 CO₂ and SO₂ emissions per capita of key environmental protection prefectures in China



Note: The figure was prepared for the National Development and Reform Commission of the first group of low-carbon pilot cities.



It is clear from the spatial pattern of CO₂ emission in China that the hot spots in CO₂ emission are basically concentrated in key environmental protection cities. The results of the global and local Moran indexes also show that the CO₂ emission in the region with key environmental protection cities had a significant positive spatial correlation effect. This shows that these prefectures have a very important effect on national CO₂ emission. In 2010, the National Development and Reform Commission decided to carry out the low-carbon pilot work in five provinces and eight cities, including cities of Baoding, Chongqing, Guiyang, Nanchang, Hangzhou, Shenzhen, Tianjin, and Xiamen (Figure 3). In 2011, the Development and Reform Commission issued an “Emission Trading Pilot Work Notice,” and implemented a carbon emission

trading pilot project involving the cities of Beijing, Chongqing, Shanghai, Shenzhen and Tianjin, as well as the provinces of Guangdong and Hubei. This shows that the central government of China attaches great importance to the role of cities in developing a low-carbon economy in China.

However, China is not only facing the challenge of developing a low-carbon economy, it's also facing challenges related to controlling the total amount of pollutants released and improving air quality. All air pollutants (SO₂, NO_x, PM₁₀, etc.) are associated with CO₂ emission simultaneously, for they have the same sources in the coal-based energy structure of China. China will deal with climate changes and improve the environment quality together by actively taking synergistic measures.





4. CONCLUSIONS AND POLICY IMPLICATIONS

The spatial pattern of CO₂ emission in China indicated that emissions were mainly concentrated in certain key prefectures. Clustering analysis showed the CO₂ and SO₂ emissions of these prefectures could be categorized into four groups, with 57% of the prefectures in the low-CO₂-and-SO₂ emission groups. An obvious clustering was observed in typical groups of prefectures and agglomeration areas, such as the top ten cities with the highest level of SO₂ emission in China, the CO₂ and SO₂ emissions accounted for 10.71% and 13.15%, respectively, of the total national emissions for cities, which covered less than 3% of the land area in China. For the ten cities with the highest level of CO₂ emission with less than 2% of China's land area, the CO₂ and SO₂ emissions accounted for 15.30% and 10.45%, respectively, of the total national emissions for cities.

In the new round of urbanization and development in China, the prefectures need to further adjust and optimize their management systems and policy measures to deal effectively with climate change. Urbanization creates problems related to lack of a sufficient energy supply. It also affects and often exceeds the environmental carrying capacity for pollutants, creating serious

pollution problems and other issues. China needs to address the new global challenges brought about by climate change. China covers a very large area, and large differences exist among the provinces. The spatial pattern of CO₂ emission in China is strongly affected by the locations of key cities. As a result, the present study analyzed synergies between CO₂ and other pollutant emissions at the prefecture level rather than at the regional and/or provincial level. At this level, the policy measures can be more flexible and effective. Considering the significant correlation between CO₂ emission of other air pollutants, it is suggested that China should take into account the synergistic effects of CO₂, SO₂, and other pollutants, and focus on controlling GHG and emissions of other pollutants at the prefecture scale. At the same time, prefecture agglomerations can develop renewable energy supply bases that would help to achieve a substantial reduction in CO₂ and SO₂ emissions. Prefectures with low CO₂ and SO₂ emissions or prefectures with low CO₂/SO₂ ratios should be provided with incentives related to synergistic measures that will allow them to achieve the dual control of CO₂ and SO₂ emissions at a lower cost.



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Chinese Academy for Environmental Planning

8 Dayangfang, BeiYuan Road, Chaoyang District, Beijing 100012, China

Editor in chief: Prof. WANG Jinnan

President, Chinese Academy for Environmental Planning

Contact person: Mr. ZHANG Hongyu

Tel: 86-10-84917585

Fax: 86-10-84918581

E-mail: zhanghongyu@caep.org.cn

Web: www.caep.org.cn